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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

### Complete if Known

Application Number	09/990,893
Filing Date	November 14, 2001
First Named Inventor	Gompers, Paul A., et al.
Group Art Unit	NYA
Examiner Name	NYA
Attorney Docket Number	PET-001.01

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AA	AA	GOMPERS, PAUL A., ET AL., Risk and Reward in Private Equity Investments: The Challenge of Performance Assessment. The Journal of Private Equity, Winter, 1997.	✓
AB	AB	LERNER, JOSH, ET AL., Yale University Investments Office: November 1997, Harvard Business School, Rev. September 28, 1998, p. 1 - 25, Harvard Business School Publishing, Boston, MA.	✓
AC	AC	ARMSTRONG, ELISSA, Private Equity – The New Asset Class, Highlights of the London Business School Report "UK Venture Capital and Private Equity as an Asset Class for Institutional Investors", BVCA, London, ENGLAND.	✓
AD	AD	LONG, AUSTIN M., III, Converting IRRs to Time-Weighted Returns, IQ Markets, Inc., www.iqmarkets.com, September 8, 2000.	✓
AE	AE	HUNTSMAN, BLAINE, ET AL., Investment in New Enterprise: Some Empirical Observations on Risk, Return, and Market Structure. Huntsman and Hoban/Investment in New Enterprise, Summer, 1980, p. 44 - 50.	✓
AF	AF	GARDNER, GRANT, ET AL., Private Equity in Asset Allocation, Russell, 08/95, p. 1 - 10.	✓
AG	AG	VENTURE ECONOMICS INFORMATION SERVICES, 1998 Investment Benchmarks Report: Venture Capital, 1998, p. 1 - 48, Newark, NJ.	✓
AH	AH	HARRELL, DAVID, How to Use Beta, Morningstar.com., 2000.	✓
AI	AI	HARRELL, DAVID, How Alpha Works, Morningstar.com, 2000.	✓
AJ	AJ	It's a Risky Business, Part 1: Measuring Private Equity Risk, www.iqmarkets.com, September 6, 2000.	✓
AK	AK	It's Risky Business, Part 2: Two Perspectives: Austin Long vs. Lerner/Compers, www.iqmarkets.com, September 5, 2000.	✓

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